

State of Washington

Capital Projects Advisory Review Board (CPARB)
Project Review Committee (PRC)

Application for Recertification of Public Body

*RCW39.10 Alternative Public Works Contracting
Design-Build [DB]*

Date:

November 1, 2011

Applicant:

University of Washington

University Facilities Building, Box 352205

Seattle, WA 98195

Contact: Eric Smith, Director – Major Projects, UW
Capital Projects Office

Email: ecsmith@uw.edu phone: 206.616.5497

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APPLICATION FOR RECERTIFICATION OF PUBLIC BODY
RCW 39.10 Alternative Public Works Contracting- GCCM and/or DB

The CPARB PRC will consider recertification applications based upon agency's experience, capability, and success in undertaking Alternative Public Works Contracting utilizing the General Contractor/Construction Manager (GCCM) and/or Design-Build (DB) project delivery process. **Incomplete applications may delay action on your application.**

1. Identification of Applicant

University of Washington

University Facilities Building, Box 352205

Seattle, WA 98195

Contact: Eric Smith, Director – Major Projects, Capital Projects Office

Phone: 206.221.5497 Fax: 206.543.1277 Email: ecsmith@uw.edu

(a) Effective Date of current Certification: _____ [GCCM] **January 22, 2009 [DB]**

(b) This Re-Application is for _____ GCCM **xx DB** [Check one or both as applicable]

2. Experience and Qualifications for Determining Whether Projects Are Appropriate for GCCM and/or DB under Alternative Contracting Procedure(s) in RCW 39.10 (RCW 39.10.270 (3)(a))

There has been no change to the University's process in determining whether projects are appropriate for GCCM.

3. Project Delivery Knowledge and Experience (RCW 39.10.270 (3)(b)(i)) Limit response to four pages or less.

The Capital Projects Office (CPO) is responsible for capital project delivery at the University of Washington. CPO consists of approximately 120 full-time professionals and support staff with broad experience in all aspects of capital project delivery, including project managers, construction managers, design professionals, estimators, schedulers, project control engineers and supervisory and executive staff. Item 4 of this application lists some of the CPO professionals with relevant Design Build (DB) experience. UW CPO is fortunate in that it experiences low turnover in its professional ranks. As a result, it has been able to retain key staff with significant DB experience. In fact, nearly all of the key professionals involved in the University's Alternative Public Works project portfolio remain on the CPO staff today.

Following is a list of Alternative Public Works projects delivered in the past six years.

[see attachment 3]

4. **Personnel with Construction Experience Using the Alternative Contracting Procedure(s)**
[RCW 39.10.270 (3)(b)(ii)]

[see attachment 4]

5. **Resolution of Audit Findings on Previous Public Works Projects** *(RCW 39.10.270 (3)(c).) (Limit Response to one page or less.)*

There were findings in 2005, but none since January 2009 when the UW was first certified.

6. **Project Data Collection**

[see attachment 6]

Signature of Authorized Representative

In submitting this application, you, as the authorized representative of your organization, understand that the PRC may request additional information about your organization, its construction history, and the experience and qualifications of its construction management personnel in order to adequately evaluate recertification under RCW39.10.270 (6). You agree to submit such information in a timely manner and understand that failure to do so shall render your application incomplete.

Should the PRC approve your request for recertification you agree to continue to provide data on such projects in accordance with RCW 39.10.270 (7) data collection criteria covering the complete history of each of these construction projects. You understand that this information is being used in a study by the State to evaluate the effectiveness of the alternative contracting procedure(s). Additionally, you understand that should this recertification be approved it is only valid for one additional three year period beyond your current certification expiration and that further certification must be applied for under RCW 39.10.270 (1).



Signature

Name: (please print) **Eric Smith**

Title: UW CPO, Director, Major Projects

Date: November 1, 2011

3. Project Delivery Knowledge and Experience (RCW 39.10.270 (3)(b)(i))

Project Name	Project Status	Substantial Completion	Budget	Performance Characteristics Describe any issues w/ cost, schedule, and/or quality, disputes, claims, delivery method change in mid-project, team performance, lessons learned.
AAALAC	Complete	Apr-10	\$ 29.0 M	No significant issues.
Architecture Hall	Complete	Jul-07	\$ 24.5 M	No significant issues.
Conibear Shellhouse Renovation & Addition	Complete	Mar-05	\$ 16.8 M	No significant issues.
Johnson Hall	Complete	Oct-05	\$ 55.3 M	No significant issues.
William H. Foege Building	Complete	Jun-06	\$ 150.0 M	Schedule delay due to scope additions from additional donor contributions.
Guggenheim Hall	Complete	Aug-07	\$ 28.3 M	No significant issues.
Savery Hall	Complete	Jul-09	\$ 61.2 M	No significant issues.
Data Center	Complete	Oct-09	\$ 32.9 M	No significant issues.
Harborview Bond - Maleng Building	Complete	Oct-08	\$153.0 M	Negotiated settlement with GCCM due to escalating market place.
Balmer Hall	Underway	Sep-12	\$ 46.3 M	No significant issues.
Housing Phase 1	Underway	Aug-12	\$148.0 M	No significant issues.
HUB Renovation/Expansion	Underway	Jun-12	\$128.3 M	No significant issues.
J Wing	Completed	Apr-11	\$ 24.0 M	No significant issues.
Molecular Engineering	Underway	Jul-12	\$ 77.7 M	No significant issues.
Paccar Hall	Completed	Jul-10	\$ 95.0 M	No significant issues.
UW Tacoma Phase 3	Underway	Aug-12	\$ 54.3 M	No significant issues.
UWMC Expansion	Underway	Jul-12	\$204.0 M	No significant issues.
WDS ECOH	Completed	Jul-10	\$ 20.0 M	No significant issues.
Denny Hall	Planned	N/A	\$ 56.9 M	On hold due to loss of state funding.
Lewis Hall	Planned	N/A	\$ 25.1 M	On hold due to loss of state funding.
Terry Hall Renovation	Planned	Jul-16	\$138.0 M	
Lander Hall Renovation	Planned	Nov-13	\$ 77.0 M	
UW Bothell Phase 3	Planned	N/A	\$ 68.0 M	
Harborview Hall Deconstruction	Planned	N/A	\$ 6.7 M	
Benjamin Hall R&T Building	Complete	Mar-06	\$ 30.0 M	No significant issues.
Institute for Advanced Materials & Tech TI	Complete	Jul-09	\$ 6.7 M	No significant issues.
Applied Physics Lab TI	Complete	Aug-09	\$ 8.7 M	No significant issues.
Husky Ballpark	Underway	N/A	\$ 13.5 M	No significant issues.
Clark Hall Renovation	Complete	Mar-09	\$ 18.1 M	No significant issues.
MHSC 6th Floor Renovations	Complete	Mar-10	\$ 13.9 M	No significant issues.
MHSC RR-Wing 2-Floor Facility	Complete	Jul-10	\$ 14.9 M	No significant issues.
Housing - Mercer Hall Replacement	Underway	Jul-13	\$ 118.0 M	No significant issues.
Montlake Triangle	Planned	N/A	\$ 18.8 M	Cancelled due to lack of funding.
Odegaard Undergraduate Learning Center	Underway	Jun-13	\$ 16.6 M	No significant issues.

4. Personnel with Construction Experience Using The Design Build Procedure.

Name	Summary of Experience	Project	Project Size	Project Type	Role during Project Phase			Role Start	Role End
					Planning	Design	Constr		
Richard Chapman	Associate Vice President, CPO with 37 years private industry experience in design and construction. 10 years UW experience.	Projects included in list below							
Andy Casillas	Senior Project Manager with over 32 years industry experience in design and construction. 9 years at UW. PM for Ben Hall Interdisciplinary building (DBOM) and subsequent tenant improvements (11). Assisted in establishing the design-build ESCO program at UW.	Benjamin Hall Ed. Outreach Montlake Triangle Project	30M 17M 18.8M	DBOM DB DB	PM -- PM	PM CM --	PM/CM CM	Jan-03 Apr-06 Oct-09	Mar-06 Sep-07 to lack of funding
Amy Engel	Associate Director with over 20 years of private industry experience and 10 years of public works experience. Responsible for projects less than \$10M, specializing in medical, lab, infrastructure upgrades and classroom improvements.	Harborview Hall Deconstruction	6.5M	DB	Assoc Dir	Assoc Dir	Assoc Dir	Nov-10	TBD
Ken Kubota	Project Manager at the UW CPO since 2007. Principal/Owner of Architects Kubota Kato Chin for 18 years.	Husky Ballpark	13.5 M	DB	PM-Architect for RFP	PM-Architect	PM-Architect	Sep-10	On-going
Jon Lebo	Director with 23 years experience in design and construction. 20 years at UW.	Ed. Outreach	17M	DB		Grp Mngr	Grp Mngr	Sep-05	Nov-07
John Palewicz	Director with 15 years experience at UW including 15 large scale projects. Architect with 20 years experience.	Husky Ballpark Montlake Triangle Project	13.5 M 18.8M	DB DB	Dir Dir	Dir Dir	Dir --	Jun-10 Oct-09	Jun-12 Cancelled - due to lack of funding
Barb Post	Project Manager with 25+ years in design and construction. 4 years consulting with UW. Past President American Society of Civil Engineers Tacoma-Olympia.	Harborview Hall Deconstruction	6.5M	DB	PM	PM	TBD		TBD
Eric Smith	Director with over 30 years experience in design and construction. 9 years at UW. Responsible for Major Projects. Former PRC Chair. Designated DBIA DB Professional.	Benjamin Hall Ed. Outreach RSN Harborview Hall Deconstruction	30M 17M 174M 6.5M	DBOM DB DB DB	Grp Mngr Grp Mgr Dir Consultant	Grp Mngr Grp Mngr Dir Consultant	Grp Mngr Consultant	Dec-02 Apr-06 Oct-07 Nov-11	Mar-06 Sep-07 Present TBD
Brad Spencer	Director with 20+ years in design and construction. 6 years at UW specializing in infrastructures.	Harborview Hall Deconstruction	6.5M	DB	Dir	Dir	Dir	Sep-09	TBD

6. Project Data Collection

Projects > \$5M with design agreement or design-build agreement in place as of July 1, 2005. Also projects projected for next three (3) years.

*Please Note that the Project Data Collection survey has been suspended, so *No new entries have been entered.

Project Name	Project Number	Budget	Delivery Method	Project Entered	Project Completed
William H Phillip Hall (UW Tacoma)	10686	\$ 12.1 M	D-B-B	Yes	Yes
UW Tacoma Ph 3	200636	\$ 54.3 M	GCCM	Yes	No
ICA Graves Annex Addition	200673	\$ 5.2 M	D-B-B	Yes	Yes
Washington Dental Service Bldg for Early Childhood Oral Health	200786	\$ 19.8 M	GCCM	Yes	No
Clark Hall Renovation	200910	\$ 18.1 M	GCCM	Yes	Yes
Savery Hall Renovation	200911	\$ 61.2 M	GCCM	Yes	Yes
Playhouse Theatre Renovation	200912	\$ 9.9 M	D-B-B	Yes	Yes
UWMC Expansion	201385	\$204.0 M	GCCM	Yes	No
HUB Renovation/Expansion	201638	\$128.3 M	GCCM	Yes	No
Ethnic Cultural Center Expansion	201725	\$ 15.5 M	D-B-B	Yes	No
Foster School of Business Phase 1 - PACCAR Hall	201837	\$ 95.0 M	GCCM	Yes	No
Foster School of Business Phase 2 - Balmer Hall	201838	\$ 46.3 M	GCCM	Yes	No
AAALAC/6th Floor	201987	\$ 13.9 M	GCCM	Yes	Yes
Molecular Engineering	201989	\$ 77.2 M	GCCM	Yes	No
Denny Hall Renovation	202039	\$ 56.9 M	GCCM	Yes	No
Lewis Hall Renovation	202040	\$ 25.1 M	GCCM	Yes	No
AAALAC/RR Wing	202043	\$ 14.9 M	GCCM	Yes	Yes
UW Bothell Ph 3	202235	\$ 68.0 M	GCCM	*No	No
Hall Health Primary Care Center Clinical Units Remodel	202277	\$ 8.5 M	D-B-B	Yes	No
Housing Phase 1 - New Residence Halls	202707	\$147.7 M	GCCM	Yes	No
Terry Hall Renovation - Student Housing Phase 3	203512	\$138.0 M	GCCM	*No	No
Lander Hall Replacement - Student Housing Phase 3	203518	\$ 77.0 M	GCCM	*No	No
West Campus Parking Garage	10164	\$ 17.9 M	D-B-B	*No	Yes
MHSC H-Wing Renovation	10402	\$ 43.2 M	GCCM	*No	Yes
Guggenheim Hall Renovation	10419	\$ 28.3 M		*No	Yes
Architecture Hall Renovation	10420	\$ 24.5 M	GCCM	*No	Yes
Emergency Power Phase 2	10451	\$ 6.7 M	D-B-B	*No	Yes
Tower Data Center	201189	\$ 32.5 M	GCCM	*No	Yes
UWMC Radiation Oncology Renovation	201841	\$ 5.3 M	D-B-B	*No	Yes
MHSC J-1/J-2 Microbiology Renovation	202696	\$ 23.5 M	GCCM	*No	Yes
Smart Grid ESCO Project	203138	\$ 10.1 M	ESCO	*No	Yes